

Statistics and Analyses of Ukrainian Participation in HORIZON 2020 (based on 241 concluded calls)



























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Executive Summary

Ukraine became a new member of Associated Countries (AC) in March 2015. Since then Ukrainian organizations are able to fully participate in Horizon 2020 on equal terms with EU Member States and other ACs.

Signed Association gives Ukraine a unique chance to increase significantly their participation in the H2020. This is evidenced by the results of participation in the first 241 calls where 308 Ukrainian organizations participated in preparation of 497 project proposals (609 participations), expecting EC contribution of 158.41 M€. 49 organizations are in 44 proposals on MAIN LIST (60 participations), with EC contribution of 7.60 M€. In particular Ukraine submitted 72 proposals for project coordinations of which 3 were classified for funding (MAIN LIST) with EC contribution of 0.55 M€.

These results classify Ukraine on the third place among Associated Countries just after Israel and Norway in both: number of participations and gained EC contribution. At the same time Ukraine reached 3 times better result in the number of participations and gained EC contribution than Tunisia, Moldova or Bosnia-Herzegovina.

Detailed analyses show that 20% of UA participants are from HES (63 organizations with 217 participations in submitted proposals), 41% from PRC (127 with 185 participations) and over 26% from REC (79 institutes with 151 participations). Finally 12 HES, 15 REC and 15 PRC from total of 49 organizations will participate in projects selected for funding.

UA participation results presented in relation to the potential of the country (GDP, GERD, population, number of researchers FTE) show that Ukraine requires further reforms to create more favorable conditions for the intensification of cooperation between Ukrainian science and industry with partners from the EU and other countries involved in H2020.

Methodological Framework How to interpret the data presented in this document?

This report was prepared based on data from:

- eCORDA data bases after 241 HORIZON 2020 concluded calls, conducted by the European Commission (EC) since December 13, 2013 and includes information about submitted and evaluated proposals before 31 May 2016,
- EUROSTAT and
- The Word Bank data bases.

Presented in the report data for applications and applicants are related to submitions for a single-stage calls only (there are no data on applications submitted for the first stage of two-stage calls). In the analysis it was taken into account ONLY proposals that have passed the initial, formal EC evaluation (*eligible proposals*).

In most Tables and Figures data related to Ukraine are shown in green with red borders \blacksquare . Related data are printed in **red**. The average values --- presented on some graphs refer to the Member States (EU28)

In order to objectify the report Ukrainian participation results are shown (i) in absolute terms (ii) in relation to the size of expenditure on R & D (GERD), (iii) with respect to 1000 scientists (FTE1), and (iv) in relation to a country's population (1 million inhabitants).

In some graphs presenting general data for Member States and Associated Countries results are presented with different colors: _____ for EU15, _____ for EU13 and _____ for the Associated Countries.

Ī

¹ FTE – Full Time Equivalent

Overall summary of all countries participation in FP HORIZON 2020.

Aggregate data published by the European Commission include the results of participation in the H2020 for EU Member States, Associated, Candidate and Third Countries. A total of **186** countries participated in the preparation of project proposals, **133** remained in the proposals that have been positively evaluated and hit the MAIN LIST², while organizations from **127** countries already signed the Grant Agreement (GA) to finance these projects.

Overall participation summary of all countries is shown in Table 1:

Table 1. All countries in eligible proposals, on MAINLIST and in signed Grant Agreements.

| ĺ | | | Eligible p | roposals | | | MAIN LIST | | | | Sig | ned Grant Agreame | nts | |
|--------------------------|-----------------------|---------|--|--------------------------------------|--------|---|-----------------------|---|--------|--------------------------|-----------------------|------------------------|-------------------------|---------------------------|
| | H2020 after 241 calls | | Number of participations in submitted proposals | Requested EC contribution [M€] | | Number of participations in proposals selected for funding | Success Rate [4/2] | EC Contribution to proposals on MAINLIST [M€] | | Number of participations | Success Rate [7/2] | Number of participants | EC contribution [M€] | Success Rate [10/3] |
| | 1 | | 2 | 3 | | 4 | 5 | 6 | | 7 | 8 | 9 | 10 | 11 |
| EU28 | EU15 | 15 | 233 753 | 132 548,26 | 15 | 31 505 | 13,48% | 14 877,30 | 15 | 33 158 | 14,19% | 11 208 | 14 713,71 | 11,10% |
| EU28 | EU13 | 13 | 31 139 | 12 336,93 | 13 | 3 199 | 10,27% | 777,64 | 13 | 3 421 | 10,99% | 1 727 | 766,25 | 6,21% |
| | EU Total / Average | 28 | 264 892 | 144 885,18 | 28 | 34 704 | 11,88% | 15 654,94 | 28 | 36 579 | 12,59% | 12 935 | 15 479,96 | 8,66% |
| | Associated Countries | 8 | 9 420 | 6 272,88 | 8 | 1200 | 12,74% | 646,41 | 9 | 1223 | 12,98% | 492 | 615,05 | 9,80% |
| Rest of the | Including UA | | 609 | 158,40 | | 60 | 9,85% | 7,60 | | 51 | 8,37% | 41 | 6,06 | 3,83% |
| WORLD | Candidate Countries | 5 | 4 952 | 1 815,39 | 5 | 503 | 10,16% | 132,40 | 5 | 531 | 10,72% | 292 | 130,80 | 7,21% |
| | Third Countries | 145 | 13 738 | 3 143,18 | 92 | 2 312 | 16,83% | 437,73 | 85 | 1 666 | 12,13% | 808 | 367,87 | 11,70% |
| WORLD Total /Average 186 | | 293 002 | 156 116,63 | 133 | 38 719 | 12,22% | 16 871,48 | 127 | 39 999 | 12,20% | 14 527 | 16 593,69 | 9,21% | |

14 527 organizations from **127** countries have signed grant agreements for the implementation of project applications totaling almost **16,6** B€, of which nearly **15,5** B€ accounted for the participants of the EU28. While the rest of the budget has been contracted by organizations from Associated, Candidate and Third Countries in the amount of: **615.05** M€, **130.8** M€ and **367.87** M€ accordingly.

As shown in Table 1 above, there is a large disproportion in the distribution of the budget among organizations from different group of countries:

- As much as **88.67%** of the budget was accounted for organizations from the EU15,
- While only 4,62% for New Member States,
- The remaining part: 6,71% of the budget was distributed to organizations from outside EU countries.

Ukrainian participants received a promise of funding applications in amount of **7,6 M€** which is **0,05%** of the total H2020 budget allocation, and **1,18%** of the budget gained by Associated Countries.

² The list of project proposals, which in the evaluation process were accepted for funding.

Table 2 shows the participation of all countries in the H2020: the number of projects and obtained EC funding, the average EC contribution to projects with their participation, the number of participations and an average subsidy of participating organizations from different groups of countries.

Table 2. Participation summary of all countries in the HORIZON 2020

| | The number of projects on the Main Lists | Planned EC funding | Average project financing | Number of participation in projects on Main List | Average subsidy of participation |
|---------------------|--|---------------------|---------------------------|--|----------------------------------|
| All Countries | 9 274 | 16 871 751 986,00 € | 1 819 252,96 € | 38 720 | 435 737 € |
| EU28 | 8 805 | 15 655 210 517,00€ | 1 777 990,97€ | 34 705 | 451 094 € |
| Eu15 | 8 457 | 14 877 571 944,00 € | 1 759 202,07 € | 31 506 | 472 214 € |
| EU13 | 1 581 | 777 638 573,00€ | 491 865,01 € | 3 199 | 243 088 € |
| Associated Counties | 841 | 646 413 210,50 € | 768 624,51 € | 1 200 | 538 678 € |
| Ukraine | 44 | 7 601 574,25 € | 172 763,05 € | 60 | 126 693 € |
| Candidate Countrues | 354 | 132 402 019,10 € | 374 017,00 € | 503 | 263 225 € |
| Third Countries | 1278 | 437 726 239,40 € | 342 508,79 € | 2 312 | 189 328 € |

Ukrainian participation in HORIZON 2020 - detailed analysis

Type of organizations

Participation (in eligible proposals):

308 organizations participated in preparation of **497** project proposals (**72** co-ordinations) – **total: 609 participations**, expecting EC contribution of **158.41** M€

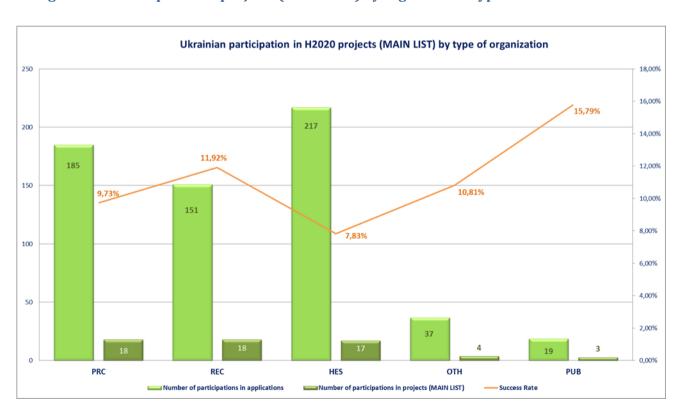
| | HES | ОТН | PRC | PUB | REC | Total |
|-------------------------|-----------------|----------------|-----------------|----------------|-----------------|------------------|
| Number of organization | 63 | 28 | 127 | 11 | 79 | 308 |
| Number of participation | 217 | 37 | 185 | 19 | 151 | 609 |
| Number of coordination | 16 | 1 | 42 | | 13 | 72 |
| Planned EC funding | 41 804 349,55 € | 6 189 760,50 € | 62 265 815,72 € | 1 837 148,75 € | 46 307 875,89 € | 158 404 950,41 € |

Participation (projects on MAIN LIST):

49 organizations are in **44** proposals on MAIN LIST (**3** co-ordinations) – **total**: **60 participations**, with EC contribution of **7.60 M€**

| | HES | OTH | PRC | PUB | REC | Total |
|-------------------------|----------------|--------------|----------------|--------------|----------------|----------------|
| Number of organization | 12 | 4 | 15 | 3 | 15 | 49 |
| Number of participation | 17 | 4 | 18 | 3 | 18 | 60 |
| Number of coordination | | | 2 | | 1 | 3 |
| Planned EC funding | 1 650 342,50 € | 356 476,00 € | 2 541 481,25 € | 256 088,00 € | 2 797 186,50 € | 7 601 574,25 € |

Figure 1. Participation in projects (MAIN LIST) by organization type.



The Ukrainian participation in the Horizon 2020 program is dominated by Higher Education Institutions (HES), Private Sector (PRC) and Research Organisations (REC).

List of participants (by organization type)

HES (Higher or Secondary Education Establishments)

15 Ukrainian HES received 1 650 342.50 € of EC contribution:

| No. | Organization name | Number of participation | Planned EC funding |
|-----|---|-------------------------|--------------------|
| 1 | NATIONAL UNIVERSITY OF KYIV-MOHYLA ACADEMY | 2 | 206 425,00 € |
| 2 | NATIONAL ACADEMY OF SCIENCES OF UKRAINE NAS OF UKRAINE | 2 | 175 312,50€ |
| 3 | TARAS SHEVCHENKO NATIONAL UNIVERSITY OF KYIV | 2 | 182 000,00 € |
| 4 | NACIONALNIY TEHNICHNIY UNIVERSITET UKRAINI KIIVSKIY POLITEHNICHNIY INSTITUT | 2 | 263 250,00 € |
| 5 | NATIONAL AEROSPACE UNIVERSITY KHARKIV AVIATION INSTITUTE NAMED BY N ZUKOVSKIY | 2 | 249 125,00 € |
| 6 | DONETSK NATIONAL UNIVERSITY | 1 | 126 000,00 € |
| 7 | MARINE HYDROPHYSICAL INSTITUTE - UKRAINIAN NATIONAL ACADEMY OF SCIENCES | 1 | 79 040,00 € |
| 8 | STATE ORGANIZATION INSTITUTE FOR ECONOMICS AND FORECASTING UKRAINIAN NATIONAL ACADEMY OF SCIENCES | 1 | 46 456,00 € |
| 9 | NATIONAL AVIATION UNIVERSITY | 1 | 112 500,00 € |
| 10 | Ukrainian National Forestry University | 1 | 10 234,00 € |
| 11 | LVIVSKYI NATIONALNYI MEDYCHNYI UNIVERSYTET IMENI DANYLA HALYTSKOHO | 1 | 76 500,00 € |
| 12 | SIMON KUZNETS KHARKIV NATIONAL UNIVERSITY OF ECONOMICS | 1 | 123 500,00 € |
| | Total | 17 | 1 650 342,50 € |

REC (Research Organisations)

15 Ukrainian REC received 2 797 186.50 € of EC contribution:

| No. | Organization name | Number of participation | Planned EC funding |
|-----|---|-------------------------|--------------------|
| 1 | DONETSK INSTITUTE FOR PHYSICS AND ENGINEERING NAMED AFTER O.O. GALKIN OF THE NATIONAL ACADEMY OF SCIENCESS OF UKRAINE | 3 | 522 000,00 € |
| 2 | SPACE RESEARCH INSTITUTE OF THE NATIONAL ACADEMY OF SCIENCES OF UKRAINE AND THE NATIONAL SPACE AGENCY OF UKRAINE | 2 | 514 968,00 € |
| 3 | ASSOCIATION OF USERS OF UKRAINIAN RESEARCH AND ACADEMIC NETWORK URAN | 1 | 23 746,00 € |
| 4 | INTERNATIONAL CIVIC ORGANISATION KYIV ECONOMICS INSTITUTE | 1 | 96 500,00 € |
| 5 | V.I.Vernadskii Institute of General and Inorganic Chemistry NAS of Ukraine | 1 | 162 000,00 € |
| 6 | INSTITUTE FOR LOW TEMPERATURE PHYSICS AND ENGINEERING of NASU | 1 | 94 500,00 € |
| 7 | INSTITUTE OF PHYSICS OF NATIONAL ACADEMY OF SCIENCE OF UKRAINE | 1 | 94 500,00 € |
| 8 | Institute for Scintillation Materials of National Academy of Sciences of Ukraine | 1 | 193 500,00 € |
| 9 | NATIONAL SCIENCE CENTER KHARKOV INSTITUTE OF PHYSICS AND TECHNOLOGY | 1 | 112 750,00 € |
| 10 | INSTITUTE OF APPLIED MATHEMATICS AND MECHANICS OF THE NATIONAL ACADEMY OF SCIENCE OF UKRAINE - IAMM NASU | 1 | 184 500,00 € |
| 11 | UKRAINIAN INSTITUTE FOR PUBLIC POLICY CIVIC ORGANIZATION | 1 | 153 750,00 € |
| 12 | INSTITUTE OF BIOENERGY CROPS AND SUGAR BEET NATIONAL ACADEMY OF AGRARIAN SCIENCES OF UKRAINE | 1 | 77 097,50 € |
| 13 | V.N. BAKUL INSTITUTE FOR SUPERHARD MATERIALS OF THE NATIONAL ACADEMY OF SCIENCES | 1 | 324 375,00 € |
| 14 | INSTITUTE OF MAGNETISM OF THE NATIONAL ACADEMY OF SCIENCE OF UKRAINE AND THE MINISTRY OF EDUCATION AND SCIENCE OF UKRAINE | 1 | 58 500,00 € |
| 15 | INSTITUTE OF MATHEMATICS OF THE NATIONAL ACADEMY OF SCIENCES OF UKRAINE | 1 | 184 500,00 € |
| | Total | 18 | 2 797 186,50 € |

PRC (Private for-profit)

15 Ukrainian PRC received 2 541 481.25 € of EC contribution:

| No. | Organization name | Number of participation | Planned EC funding |
|-----|---|-------------------------|--------------------|
| 1 | NAUKOVO-TEHNICHNII CENTAR BIOMASA LLC | 3 | 261 948,50 € |
| 2 | YUZHNOYE DESIGN OFFICE NAMED AFTER MIKHAIL YANGEL | 2 | 758 740,00 € |
| 3 | MATERIALS RESEARCH CENTER | 1 | 81 000,00 € |
| 4 | STATE ENTERPRISE STATE ROAD SCIENTIFIC RESEARCH INSTITUTE NAMED AFTER M. P. SHULGIN | 1 | 45 200,00 € |
| 5 | ENAMINE LIMITED LIABILITY COMPANY, RESEARCH AND PRODUCTION ENTERPRISE | 1 | 409 500,00 € |
| 6 | AGRO LV LIMITED | 1 | 32 937,50 € |
| 7 | FIATU | 1 | 121 944,00 € |
| 8 | CORPORATION SCIENCE PARK TARAS SHEVCHENKO UNIVERSITY OF KYIV | 1 | 288 000,00 € |
| 9 | NANOTECHCENTER LLC | 1 | 72 000,00 € |
| 10 | FIRMA INSTYTUT ECOLOGII LIUDYNY-INEKO | 1 | 50 000,00 € |
| 11 | UKRAINIAN RESEARCH INSTITUTE OF AVIATION TECHNOLOGY | 1 | 54 000,00 € |
| 12 | LIMITED LIABILITY COMPANY SALIX ENERGY | 1 | 134 086,25 € |
| 13 | ZAPOROZHYE MACHINE-BUILDING DESIGN BUREAU PROGRESS STATE ENTERPRISE NAMED AFTER ACADEMICIAN A.G. IVCHENKO | 1 | 72 125,00 € |
| 14 | LIMITED LIABILITY COMPANY STREAMOZONE | 1 | 80 000,00 € |
| 15 | Marlin-Yug Ltd | 1 | 80 000,00 € |
| | Total | 18 | 2 541 481,25 € |

PUB Public bodies (excluding Research Organisations and Secondary or Higher Education Establishments)

3 Ukrainian PUB received 256 088.00 € of EC contribution:

| No. | Organization name | Number of participation | Planned EC funding |
|-----|---|-------------------------|--------------------|
| 1 | Public Joint Stock Company FED | 1 | 59 000,00 € |
| 2 | THE CENTRE FOR SCIENTIFIC AND TECHNICAL INFORMATION AND INNOVATION PROMOTION OF UKRAINE | 1 | 140 125,00 € |
| 3 | STATE SPACE AGENCY OF UKRAINE | 1 | 56 963,00 € |
| | Total | 3 | 256 088,00 € |

OTH (Other)

4 Ukrainian OTH received 356 476.00 € of EC contribution:

| No. | Organization name | Number of participation | Planned EC funding |
|-----|---|----------------------------|--------------------|
| 1 | Scientific Foundation of Scientists and Specialists on Molecular Cybernetics and Informatics | 1 | 9 000,00€ |
| 2 | R.E KAVETSKY INSTITUTE OF EXPERIMENTAL PATHOLOGY ONCOLOGY AND RADIOBIOLOGY OF NATIONAL ACADEMY OF SCIENCES OF UKRAINE | 1 | 148 750,00 € |
| 3 | ASSOCIATION UKRAINIAN AGRIBUSINESSCLUB | 1 | 147 365,00€ |
| 4 | INSTITUT SVITOVOI POLITIKI | 1 | 51 361,00 € |
| | Total | 4 | 356 476,00€ |

List of Ukrainian coordinators

3 Ukrainian coordination were approved receiving 554 000.00 € of EC contribution:

| No. | Ukrainian coordinator (MAIN LIST) | Acronim | Project type | Number of participation | Planned funding for the coordinator |
|-----|---|-----------|--------------|-------------------------|-------------------------------------|
| 1 | INSTITUTE OF PHYSICS OF NATIONAL ACADEMY OF SCIENCE OF UKRAINE | assymcurv | MSCA-RISE | 1 | 94 500,00 € |
| 2 | FIRMA INSTYTUT ECOLOGII LIUDYNY-INEKO | EUWaste | SME-1 | 1 | 50 000,00 € |
| 3 | ENAMINE LIMITED LIABILITY COMPANY, RESEARCH AND PRODUCTION ENTERPRISE | PELICO | MSCA-RISE | 1 | 409 500,00 € |
| | | | Total | 3 | 554 000,00 € |

Thematic areas

Organizations from Ukraine took part in the preparation of project proposals in almost all H2020 thematic areas. The most popular were: MSC Actions (133 participation), SOCIETY (131) and ENERGY, FET (30 participations each) and the Environment, SPACE and ICT where the number of participation in the proposals was 29, 28 and 25 respectively. Details. regarding all areas are presented in Figure 2.

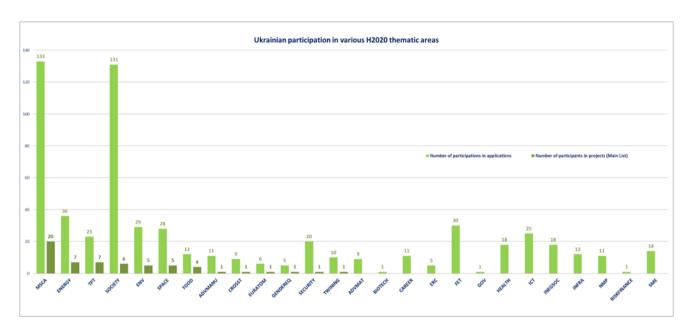


Figure 2. Ukrainian participation in proposals and projects on MAIN LIST by thematic area.

Proposals from 13 from among the 26 H2020 thematic areas hit the MAIN LIST. Ukraine gained the highest funding for 20 MSCA projects and 5 of the Environment (respectively 3.02 M€ and 1.15 M€). In contrast, for a total of 25 projects from ENERGY, TPT, SPACE and SOCIETY obtained total funding in the amount of 2.33 M€. Figure 3 shows further details.

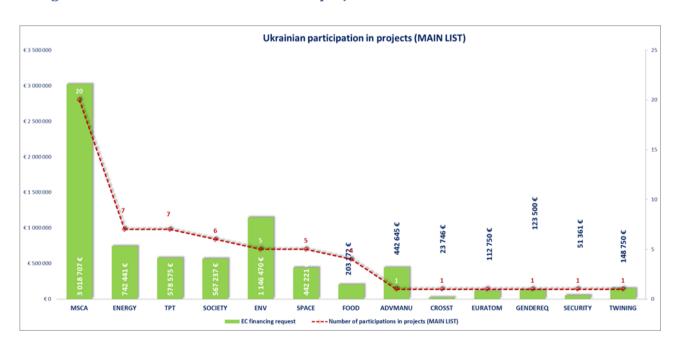


Figure 3. EC contribution for number of projects on MAIN LIST in H2020 thematic areas.

Project Financing Systems

Category of financing system for H2020 projects determines the type of project and the basic principles relating to participation and allocation of funds for research & innovation.

Ukrainian organizations most actively participated in the preparation of proposals for research projects (RIA - 234 participations), nearly 1/3 of projects with Ukrainian participation included projects Coordination and Support Actions (CSA - 147 participations) and implementation projects (IA - 43 participations). In the Marie Sklodowska Curie Actions - RISE (100 participations) and in the framework of SME Instrument (33 participations).

In contrast, 100% effectiveness Ukrainian organizations reached in the ERA-NET-COFUND, MSCA-IF-GF and SGA-RIA, where although they participated in the preparation of only one application for each instrument but all three proposals received a positive assessment of evaluators and were qualified for financing. Full information is presented in Table 3 and Figure 4.

Table 3. Ukrainian participation by finance instruments

| | Number of participations in submitted proposals | Number of projects on the Main Lists | Number of participation in projects on Main List | EC financing request | Planned EC funding for participation |
|----------------|---|---|--|----------------------|--|
| | | | | (euro) | (euro) |
| BBI-RIA | 1 | | | 265 375,00€ | |
| CS2-IA | 1 | | | 10 000 000,00€ | |
| CS2-RIA | 2 | | | 389 375,00€ | |
| CSA | 147 | 14 | 21 | 20 969 890,83 € | 1 997 235,75 € |
| CSA-LS | 1 | | | 0,00€ | |
| ECSEL-RIA | 5 | | | 336 425,00€ | |
| ERA-NET-Cofund | 1 | 1 | 1 | 400 000,00€ | 400 000,00€ |
| ERC-ADG | 1 | | | 400 000,00€ | |
| ERC-STG | 4 | | | 4 497 510,00 € | |
| FCH2-CSA | 2 | | | 223 679,00€ | |
| FCH2-RIA | 1 | | | 186 875,00€ | |
| IA | 43 | 2 | 4 | 11 900 967,75 € | 690 858,00€ |
| MSCA-IF-EF | 2 | | | 259 994,10€ | |
| MSCA-IF-GF | 1 | 1 | 1 | 152 207,00€ | 152 207,00€ |
| MSCA-ITN-EJD | 6 | | | 2 419 390,80 € | |
| MSCA-ITN-ETN | 18 | | | 5 645 244,68€ | |
| MSCA-RISE | 100 | 12 | 19 | 15 966 000,00€ | 2 866 500,00 € |
| RIA | 234 | 12 | 12 | 69 446 145,25 € | 1 421 027,50€ |
| SGA-CSA | 5 | | | 73 500,00 € | |
| SGA-RIA | 1 | 1 | 1 | 23 746,00 € | 23 746,00 € |
| SME-1 | 24 | 1 | | 1 050 000,00€ | |
| SME-2 | 9 | | 1 | 13 798 625,00€ | 50 000,00€ |
| Total | 609 | 44 | 60 | 158 404 950,41 € | 7 601 574,25 € |

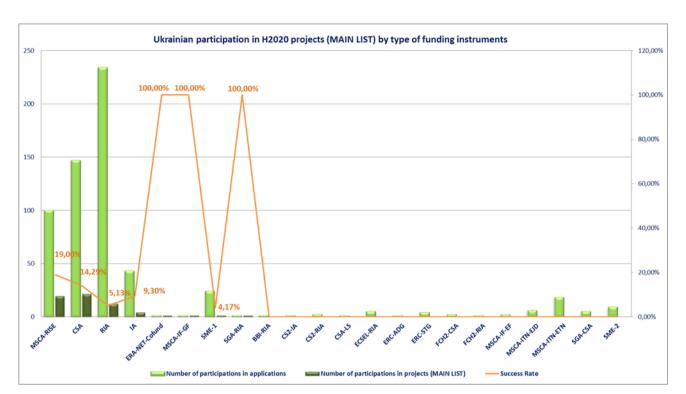
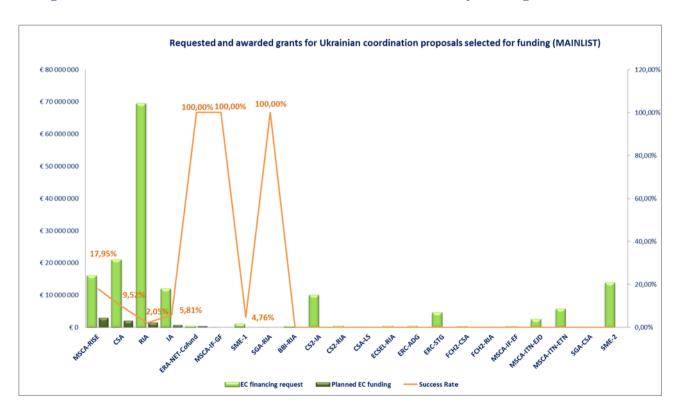


Figure 4. Ukrainian participation in H2020 by funding instruments.





Ukrainian teams will receive the highest subsidies for applications submitted to Marie Sklodowska Curie - RIA (2.8 M), coordination and support actions (CSA - 1.9 M) and research projects (RIA - 1.4 M).

The greatest effectiveness in getting the financing Ukrainian organizations obtained in ERA-NET-COFUND, MSCA-IF-GF, SME-1 and BBI-RIA (Figure 5).

Ukrainian participation in HORIZON 2020 - in relation to its potential

General information (Source: eCORDA data bases - release 5.0, The World Bank data bases)

| | | Ukraine |
|------------------------------|---|-------------|
| _ | Population [millions] | 44,80 |
| ic tica | Gross Domestic Product [B€] | 0,81 |
| Basic statistical data | Number of researchers (FTE) | 53 835 |
| sta | Total GERD [B€] | 0,63 |
| | Number of participations in submitted H2020 proposals | 609 |
| | including coordinations | 72 |
| 0 | Number of participations in projects on MAIN LIST | 60 |
| 202 | including coordinations | 3 |
| Z | Number of participation in projects (signed GAs) | 51 |
| HORIZON 2020 | including coordinations | 3 |
| OR | Granted EC Funds (MAIN LIST) | 7 601 574 € |
| I | including coordinations | 554 000 € |
| | Granted EC Funds (signed GAs) | 6 063 618 € |
| | including coordinations | 554 000 € |

Table 4. Gained EC Contribution in H2020 projects in reference to Ukrainian potential.

| Projects (MAIN LIST) | Ukraine |
|--|-----------|
| The size of the grant per 1 researcher (FTE) | 141 € |
| The size of the grant per 1 mln population | 169 678 € |
| The size of the grant per 1000 € GERD | 12€ |
| The size of the grant per 1 mln € GDP | 9 368 € |

| Projects (signed GAs) | Ukraine |
|--|-----------|
| The size of the grant per 1 researcher (FTE) | 113€ |
| The size of the grant per 1 mln population | 135 349 € |
| The size of the grant per 1000 € GERD | 10€ |
| The size of the grant per 1 mln € GDP | 7 473 € |

Table 5. EC funding gained by Ukrainian coordinators in reference to their potential.

| Coordinations (MAIN LIST) | Ukraine |
|--|----------|
| The size of the grant per 1 researcher (FTE) | 10€ |
| The size of the grant per 1 mln population | 12 366 € |
| The size of the grant per 1000 € GERD | 1€ |
| The size of the grant per 1 mln € GDP | 683 € |

| Coordinations (signed GAs) | Ukraine |
|--|----------|
| The size of the grant per 1 researcher (FTE) | 10€ |
| The size of the grant per 1 mln population | 12 366 € |
| The size of the grant per 1000 € GERD | 1€ |
| The size of the grant per 1 mln € GDP | 683 € |

Ukraine in HORIZON 2020 - as a part of Associate Countries

Below in the Figures 6, 7, 8 and 9 we have included basic statistics of Ukraine's participation in H2020 among all of the Associated Countries. The first two graphs show the participation in complex and agreed funding requests and the funding which has been accepted by the EC. The next two graphs show respectively the participation of the Associated Countries and obtained financing in respect of the thematic areas of H2020.

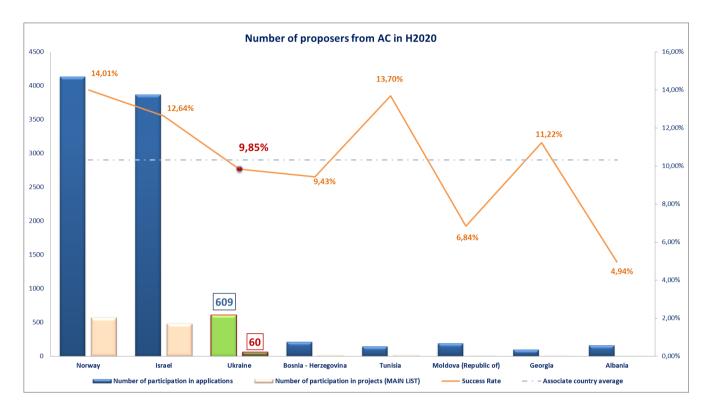


Figure 6. Submitted and awarded proposals (MAIN LIST)



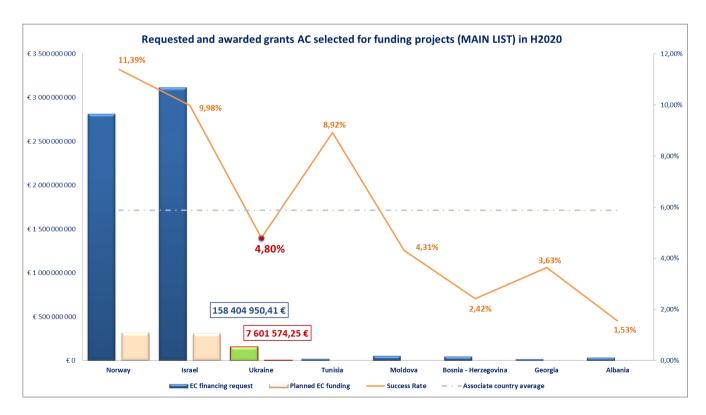
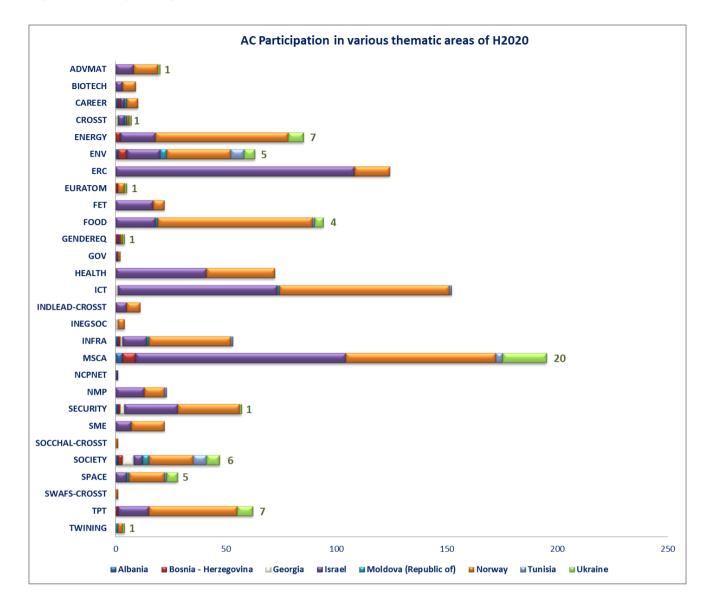
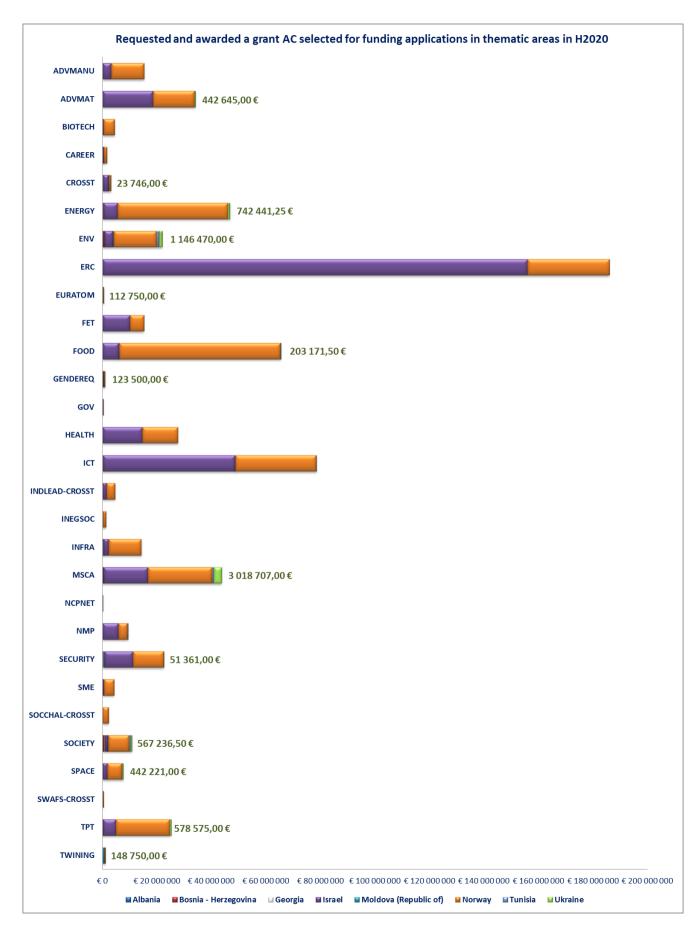


Figure 8. AC participation by H2020 thematic areas.







The charts illustrated below show the participation of Ukraine against Associated Countries in the thematic areas. Most proposals selected for funding among AC beneficiaries is MSCA (195), ICT (152), ERC (124), FOOD (94) and Energy (85). In the first place of the construction among these countries are Norway (579) and Israel (489). Ukraine is on the 3rd place with 60 projects on the MAIN LIST and it is before such countries as Tunisia (20), Bosnia and Herzegovina (20) and the Republic of Moldova (13).

All the anticipated funding for Ukrainian entities, which were on the MAIN LIST was in total of **7 601 574** € from the overall amount of **646 413 210** € anticipated for all entities from the Associated Countries, which puts Ukraine also on the **3th** place of the construction site.

Ukraine in HORIZON 2020 - Ukraine among EU and AC

Table 6. Summary data for EU and AC (in alphabetic order)

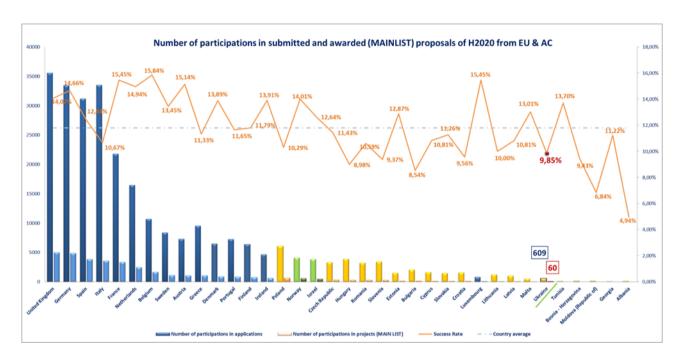
| Country | Number of participations in submitted proposals | Number of applications for Main List | Aplication of financing | Planned EC funding (Main List) | Success Rate - participat ion | Success Rate - funds |
|-----------------------|---|--------------------------------------|-------------------------|-----------------------------------|--|----------------------------|
| Albania | 162 | 8 | 36 261 985,00€ | 556 508,75 € | 4,94% | 1,53% |
| Austria | 7333 | 1110 | 3 900 318 003,08 € | 496 515 158,09 € | 15,14% | 12,73% |
| Belgium | 10695 | 1694 | 5 233 149 453,28 € | 762 589 621,34 € | 15,84% | 14,57% |
| Bosnia - Herzegovina | 212 | 20 | 48 419 461,41 € | 1 828 347,00 € | 9,43% | 3,78% |
| Bulgaria | 2085 | 178 | 632 227 965,19 € | 21 086 689,00€ | 8,54% | 3,34% |
| Croatia | 1600 | 153 | 530 173 650,68€ | 26 846 592,76 € | 9,56% | 5,06% |
| Cyprus | 1609 | 174 | 697 569 913,19 € | 56 591 792,03 € | 10,81% | 8,11% |
| Czech Republic | 3342 | 382 | 1 434 864 673,10 € | 102 608 069,98 € | 11,43% | 7,15% |
| Denmark | 6503 | 903 | 3 968 472 136,75 € | 422 610 481,32 € | 13,89% | 10,65% |
| Estonia | 1523 | 196 | 593 265 737,74€ | 53 973 723,13 € | 12,87% | 9,10% |
| Finland | 6351 | 749 | 3 943 260 863,15 € | 328 801 179,23 € | 11,79% | 8,34% |
| France | 21799 | 3369 | 12 549 479 915,31 € | 1 617 808 464,30 € | 15,45% | 12,89% |
| Georgia | 98 | 11 | 17 770 394,75 € | 645 737,25 € | 11,22% | 3,63% |
| Germany | 33510 | 4911 | 20 523 165 389,78 € | 3 072 577 186,04 € | 14,66% | 14,97% |
| Greece | 9535 | 1080 | 4 479 753 309,92 € | 360 148 846,09 € | 11,33% | 8,04% |
| Hungary | 3930 | 353 | 1 962 450 743,99€ | 93 981 589,82 € | 8,98% | 4,79% |
| Ireland | 4666 | 649 | 2 725 927 945,91 € | 282 011 184,35 € | 13,91% | 10,35% |
| Israel | 3870 | 489 | 3 118 507 053,70 € | 311 308 700,78 € | 12,64% | 9,98% |
| Italy | 33546 | 3579 | 18 073 432 969,77 € | 1 379 725 313,63 € | 10,67% | 7,63% |
| Latvia | 1055 | 114 | 374 604 612,45 € | 26 467 090,31 € | 10,81% | 7,07% |
| Lithuania | 1230 | 123 | 361 159 151,35€ | 17 392 159,00€ | 10,00% | 4,82% |
| Luxembourg | 822 | 127 | 379 827 749,33 € | 40 158 829,82 € | 15,45% | 10,57% |
| Malta | 515 | 67 | 140 812 023,54 € | 12 861 939,98 € | 13,01% | 9,13% |
| Moldova (Republic of) | 190 | 13 | 56 236 154,46 € | 1 172 961,07 € | 6,84% | 2,09% |
| Netherlands | 16495 | 2465 | 10 195 123 563,90€ | 1 276 441 366,04 € | 14,94% | 12,52% |
| Norway | 4133 | 579 | 2 816 778 029,58 € | 320 875 943,04 € | 14,01% | 11,39% |
| Poland | 6072 | 625 | 2 431 263 849,13 € | 157 531 283,42 € | 10,29% | 6,48% |
| Portugal | 7276 | 848 | 3 343 409 781,73 € | 282 162 842,97 € | 11,65% | 8,44% |
| Romania | 3257 | 345 | 1 189 951 482,89€ | 68 434 882,33 € | 10,59% | 5,75% |
| Slovakia | 1474 | 166 | 649 605 225,84€ | 42 893 638,47 € | 11,26% | 6,60% |
| Slovenia | 3447 | 323 | 1 338 978 160,74 € | 96 969 122,79 € | 9,37% | 7,24% |
| Spain | 31229 | 3885 | 16 810 466 437,74€ | 1 614 976 995,00 € | 12,44% | 9,61% |
| Sweden | 8389 | 1128 | 5 242 740 220,94€ | 527 106 689,44 € | 13,45% | 10,05% |
| Tunisia | 146 | 20 | 20 502 607,56 € | 2 423 438,38 € | 13,70% | 11,82% |
| Ukraine | 609 | 60 | 158 404 950,41 € | 7 601 574,25 € | 9,85% | 4,80% |
| United Kingdom | 35604 | 5009 | 21 179 728 792,57 € | 2 413 937 786,53 € | 14,07% | 11,40% |
| Total | 274312 | 35905 | 151 158 064 359,85 € | 16 301 623 727,73 € | 13,09% | 10,78% |

According to data presented in Table 6 Ukraine had a success rate of 9,85%, which is below EU28 and AC average. It also received 4,8% of the average available funding. The average submission success

rate³ of all Member States and Associated Countries was 13,09%, while the average success rate for financial contribution for a country amounted to 10,78%.

The data presented above are illustrated by the following charts:

Figure 10. Number of participations in submitted and awarded (MAINLIST) H2020 proposals from UE & AC - by country (descending).



³ **The participation success rate** - the ratio of number of participations in the applications selected for funding (MAIN LIST) to number of participations in applications submitted for evaluation, expressed as a percentage. Similarly the success rate for funding is calculated as the ratio of granted funding for proposals on the MAIN LIST to the funding expected in the submitted proposals)

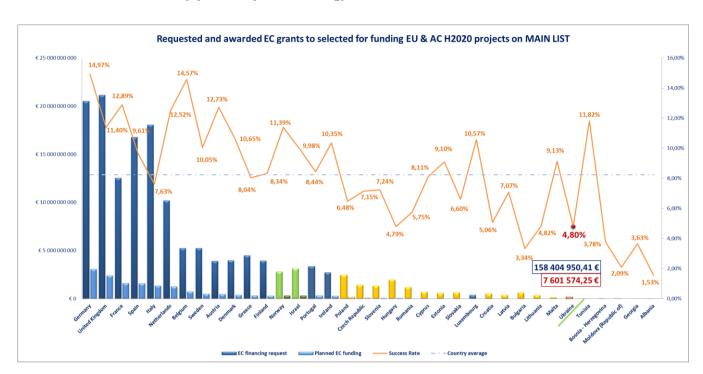


Figure 11. Requested & Gained by UE & AC participants EC contribution for proposals on MAINLIST (by country descending).

Ukraine is on the **3**rd place among the countries in terms of plural Associated Countries participation in the selected projects do Implementation (MAIN LIST). Countries with a better results are: Norway (1) and Israel (2).

All the anticipated funding for Ukrainian entities whose projects were on the MAIN LIST is **7 601 574** € out of a total **646 413 210** € expected for all entities from Associated Countries.

Similarly, in the case of financing requests for co-ordination - Ukraine received funding of **554 000 €** for 3 projects selected from 72 submitted applications.

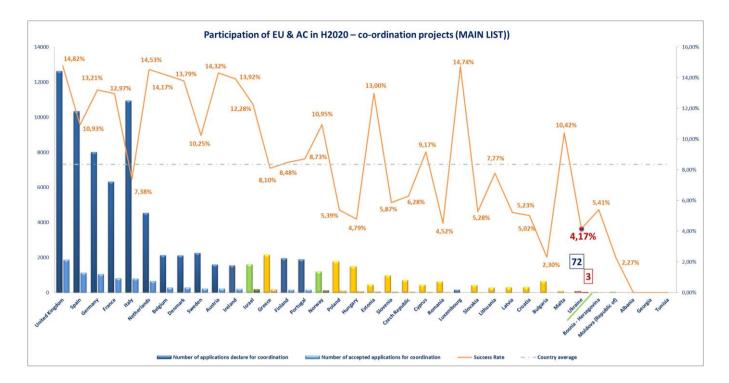
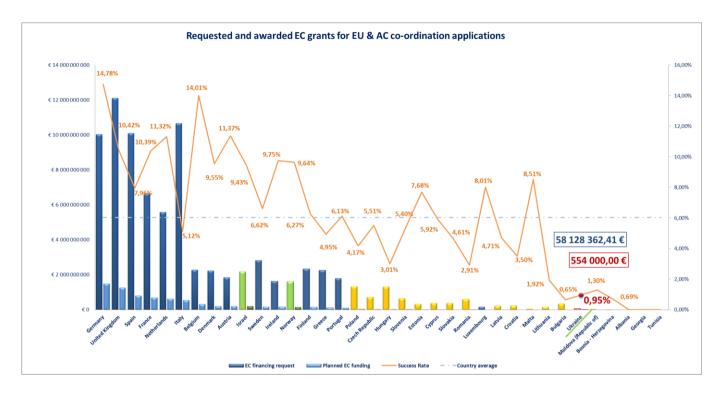


Figure 12. Coordinated by UE & AC projects (by country descending).

All the funding that has been anticipated for Ukrainian entities that were selected for funding (MAIN LIST) of the coordination of projects came to $554\,000$ € out of a total of $7\,533\,912\,485$ € expected for all coordinators from the EU Member States and Associated Countries, which puts Ukraine on the 31^{th} place in this group of countries involving 0, 01% of the distributed budget (Figure 13).

Figure 13. Gained by EU & AC participants EC contribution (MAINLIST) by country of coordination (descending)



Ukraine cooperation with partners from other countries - cross table

Table 7. UA cooperation with EU28

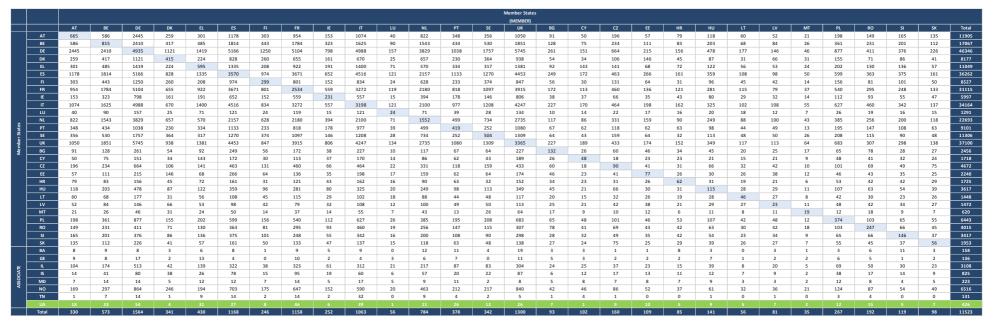


Table 8. UA cooperation with Candidate and Associate Countries

| | Candidate Countries (CANDIDATE) | | | | | | | | | SOCIA SSOCIA | | | | | | |
|-----------|------------------------------------|----|----|----|----|-----|-------|----|----|-----------------|----|----|-----|----|----|-------|
| | | AL | ME | MK | RS | TR | Total | BA | GE | IL | IS | MD | NO | TN | UA | Total |
| | ВА | 3 | 2 | 3 | 9 | 2 | 19 | 3 | 1 | 4 | 1 | 2 | 2 | 0 | 0 | 13 |
| | GE | 1 | 2 | 2 | 4 | 8 | 17 | 1 | 2 | 3 | 1 | 7 | 1 | 0 | | 23 |
| Ħ | IL | 4 | 3 | 9 | 11 | 31 | 58 | 4 | 3 | 153 | 13 | 9 | 50 | 2 | 2 | 236 |
| ASSOCIATE | IS | 1 | 0 | 2 | 3 | 12 | 18 | 1 | 1 | 13 | 17 | 5 | 47 | 1 | 0 | 85 |
| ASS(| MD | 2 | 3 | 4 | 5 | 9 | 23 | 2 | 7 | 9 | 5 | 1 | 4 | 1 | | 37 |
| | NO | 1 | 0 | 5 | 18 | 50 | 74 | 2 | 1 | 50 | 47 | 4 | 197 | 5 | | 310 |
| | TN | 1 | 0 | 1 | 2 | 7 | 11 | 0 | 0 | 2 | 1 | 1 | 5 | 4 | | 13 |
| | UA | | | | | | | | | | | | | | | 35 |
| | Total | 13 | 11 | 27 | 59 | 129 | 239 | 13 | 23 | 236 | 85 | 37 | 310 | 13 | 35 | 752 |

Table 9. UA cooperation with Third Countries

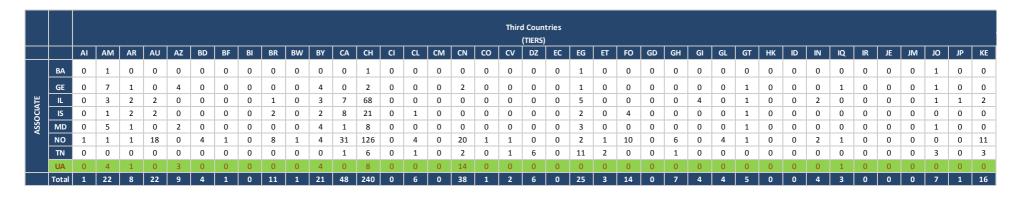


Table 9. UA cooperation with Third Countries - cont.

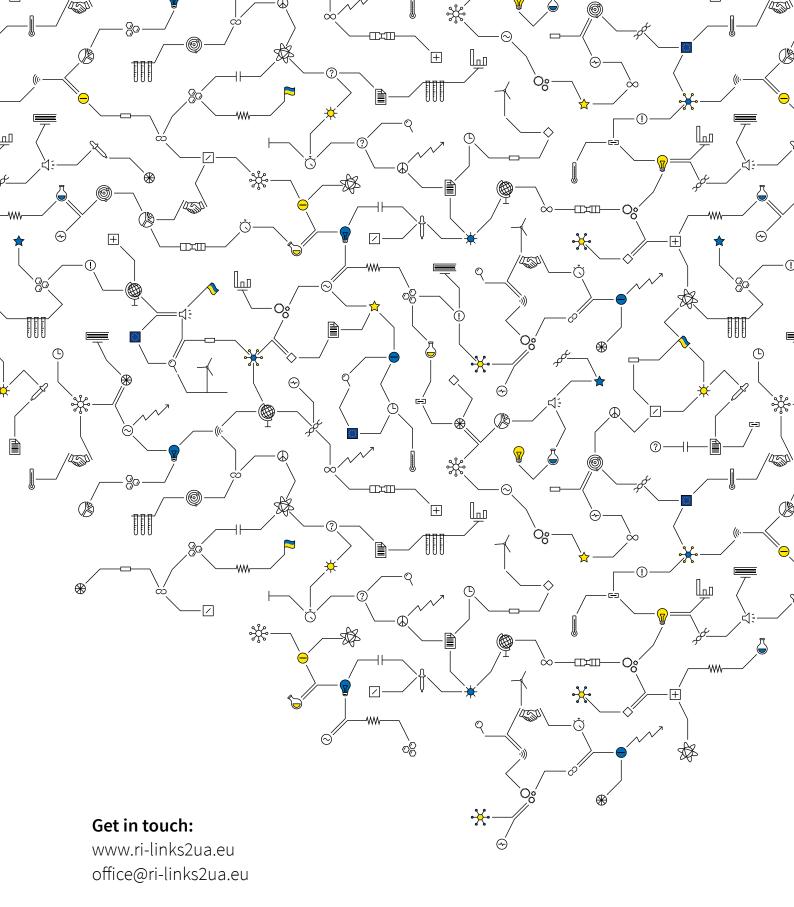


Develop shortcuts

| Short | Full name of the organisation type | | |
|-------|------------------------------------|--|--|
| HES | Higher or secondary education | | |
| N/A | Not available | | |
| OTH | Others | | |
| PRC | Private for profit | | |
| PUB | Public body | | |
| REC | Research organisations | | |

| ol . | |
|----------------------------------|--|
| Short | Full name of the types of projects |
| BBI-CSA | JTI Bio-based Industries Bio-based Industries Coordination and Support action |
| BBI-IA-DEMO | Bio-based Industries Innovation action - Demonstration |
| BBI-IA-FLAG | Bio-based Industries Innovation action - Flagship |
| BBI-RIA | Bio-based Industries Research and Innovation action |
| COFUND-EJP | COFUND (European Joint Programme) |
| COFUND-PCP | COFLIND (PCP) |
| COFUND-PPI CS2 | COFUND (PPI) JTI Clean Sky 2 |
| CS2-CSA | Coordination and support action |
| CS2-IA | Innovation action |
| CS2-RIA | Research and Innovation action |
| CSA | Coordination and support action |
| CSA-LS | CSA Lump sum |
| Debt ECSEL | Debt and equity facility JTI Electronic Components and Systems for European Leadership |
| ECSEL-CSA | ECSEL Coordination and Support action |
| ECSEL-IA | ECSEL Innovation Action |
| ECSEL-RIA | ECSEL Research and Innovation Action |
| ERA-NET-Cofund | ERA-NET Cofund |
| ERC | Support for frontier research (ERC) |
| ERC-ADG | Advanced Grant Consolidator Grant |
| ERC-COG ERC-LVG | Consolidator Grant ERC low value grant |
| ERC-POC | Proof of Concept Grant |
| ERC-STG | Starting Grant |
| ERC-SyG | Synergy grant |
| FCH2 | FCH2 JU actions |
| FCH2-CSA | Coordination and support action |
| FCH2-IA FCH2-RIA | Innovation action Research and Innovation action |
| FPA | Framework Partnership Agreement |
| | Framework Partnership Agreement and Coordination and Support Action funded by a specific grant awarded under |
| FPA-SGA-CSA | the Framework Partnership Agreement |
| IA | Innovation action |
| IMI2 | JTI Innovative Medicines Initiative |
| IMI2-CSA IMI2-IA | Coordination and support action Innovation action |
| IMI2-IA | Research and Innovation action |
| IPr | Inducement Prize |
| JU-SESAR | SESAR |
| KICS | Kics |
| MSCA | Marie Skłodowska-Curie actions |
| MSCA-COFUND | Co-funding of regional, national and international programmes (COFUND) |
| MSCA-COFUND-DP MSCA-COFUND-FP | Doctoral programmes Fellowship programmes |
| MSCA-IF | Marie Skłodowska-Curie Individual Fellowships (IF) |
| MSCA-IF-EF | European Fellowships |
| MSCA-IF-EF-CAR | CAR – Career Restart panel |
| MSCA-IF-EF-RI | RI – Reintegration panel |
| MSCA-IF-EF-SE | Society and Enterprise panel |
| MSCA-IF-EF-ST MSCA-IF-GF | Standard EF Global Followships |
| MSCA-IF-GF MSCA-ITN | Global Fellowships Marie Skłodowska-Curie Innovative Training Networks (ITN) |
| MSCA-ITN-EID | European Industrial Doctorates |
| MSCA-ITN-EJD | European Joint Doctorates |
| MSCA-ITN-ETN | European Training Networks |
| MSCA-RISE | Research and Innovation Staff Exchange - RISE |
| PCP | Pre-Commercial Procurement |
| PPI RIA | Public Procurement of Innovative solutions Research and Innovation action |
| RPr | Research and Innovation action Recognition Prize |
| SESAR-CSA | Coordination and Support Action |
| SESAR-IA | Innovation action |
| SESAR-RIA | Research and Innovation action |
| SGA-CSA | Specific Grant Agreement and Coordination and Support Action |
| SGA-EEN | Specific Grant Agreement Enterprise Europe Network (EEN) |
| SGA-RIA Shift2Rail | SGA-RIA Shift2Rail |
| Shift2Rail-CSA | Coordination and Support action |
| Shift2Rail-IA | Innovation action |
| Shift2Rail-RIA | Research and Innovation action |
| SME | SME instrument |
| CNAF 1 | SME instrument phase 1 |
| SME-1 SME-2 | SME instrument phase 2 |

| Short | Full name of the thematic area |
|----------------|--|
| CROSST | Cross-theme |
| EXCSCI-CROSST | Excellent Science - Cross-theme |
| ERC | European Research Council |
| FET | Future and Emerging Technologies |
| MSCA | Marie Sklodowska-Curie actions |
| INFRA | Research infrastructures |
| INDLEAD-CROSST | Industrial Leadership - Cross-theme |
| LEIT | Leadership in enabling and industrial technologies (LEIT) |
| ICT | Information and Communication Technologies |
| NMP | Nanotechnologies, Advanced Materials and Production |
| ADVMAT | Advanced materials |
| BIOTECH | Biotechnology |
| ADVMANU | Advanced manufacturing and processing |
| SPACE | Space |
| RISKFINANCE | Access to risk finance |
| SME | Innovation in SMEs |
| SOCCHAL-CROSST | Societal Challenges - Cross-theme |
| HEALTH | Health, demographic change and wellbeing |
| FOOD | Food security, sustainable agriculture and forestry, marine and maritime and inland water research |
| ENERGY | Secure, clean and efficient energy |
| TPT | Smart, green and integrated transport |
| ENV | Climate action, environment, resource efficiency and raw materials |
| SOCIETY | Europe in a changing world - inclusive, innovative and reflective Societies |
| SECURITY | Secure societies - Protecting freedom and security of Europe and its citizens |
| SEAWP-CROSST | Spreading excellence and widening participation - Cross-theme |
| WIDESPREAD | Teaming |
| TWINING | Twinning |
| ERA | ERA chairs |
| PSF | Policy Support Facility (PSF) |
| INTNET | Supporting access to international networks |
| NCPNET | Transnational networks of National Contact Points |
| SWAFS-CROSST | Science with and for Society |
| CAREER | Make scientific and technological careers attractive for young people |
| GENDEREQ | Promote gender equality in research and innovation |
| INEGSOC | Integrate society in science and innovation |
| SCIENCE | Encourage citizens to engage in science |
| RESACCESS | Develop the accessibility and the use of the results of publicly-funded research |
| GOV | Develop the governance for the advancement of responsible research and innovation |
| IMPACT | Anticipating and assessing potential environmental, health and safety impacts |
| KNOWLEDGE | Improve knowledge on science communication |
| EURATOM | Euratom |



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